

# Congestion Control Mechanisms for Wimax Networks: A Survey

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## ABSTRACT

In wireless networks vast volumes of data with very high speed is always remains in demand. Wimax is fast moving technology in communication technology that provides a huge set of flexible features in the context of deployment options and useful services. The main focus of Wimax technology remains on quality with cost effectiveness, but on the other hand it is a challenging job to provide Quality of service in mobile broadband network instead of fixed broadband. The unpredictable nature of network in terms of mobile nodes becomes more intense. Thus lot of issues arises whenever a user crosses its borderline in terms of handing over a session from one cell to another. We reviewed different works on prevention and control of congestion in Wimax network that is proposed in Wimax literature review. This review paper presents that Wimax is fast moving next generation technology that offers much high speed, security not only in urban areas but in rural areas also where connectivity remains a big issue. It also covers congestion related issues, where packet drop is an indication of network congestion. We are highlighting the various TCP variants viz. Sack, Fack, Reno, Venet, Vegas etc as a congestion control paradigms to improve throughput, delay in network, routing overhead etc of the network etc. By combining some of the flavours of TCP will make a good approach to control congestion in network and hence it will improve the Quality of service.

**Keywords :-** Wimax, congestion control, sack, fack, reno, QoS.

## I. INTRODUCTION

WiMAX (Worldwide Interoperability for Microwave Access) is a wireless communications standard designed to provide 30 to 40 megabit-per-second data rates, with the 2011 update providing up to 1 Gbit/s for fixed stations. It is an emerging broadband radio access technology, has attracted much attention from the whole telecom industry in recent years. Its main features are high-speed transmission rate, large coverage, support for mobility, QoS guarantee and all-IP architecture. The technology fulfills the integration of packetized data, broadband access and mobilized terminal; therefore, it has a bright future for wide application. Its name "WiMAX" was created by the WiMAX Forum, which was formed in June 2001 to promote conformity and interoperability of the standard. The forum describes WiMAX as "a standards-based technology enabling the delivery of last mile wireless broadband access as an alternative to cable and DSL". WiMAX refers to interoperable implementations of the IEEE 802.16 family of wireless-networks standards ratified by the WiMAX Forum. WiMAX Forum certification allows vendors to sell fixed or mobile products as WiMAX certified, thus ensuring a level of interoperability with

other certified products, as long as they fit the same profile. The bandwidth and range of WiMAX Provides portable mobile broadband connectivity across cities and countries through a variety of devices, it also provides a wireless alternative to cable and digital subscriber line (DSL) for "last mile" broadband access. In spite of all these tremendous facilities QoS remains one major factor that most of the wireless technologies seeks as a challenge. We surveyed various papers presented in this regard and come up with two algorithms of improving the quality of service (QoS) in the WiMAX network. These two algorithms are basically variants of TCP i.e tcp-sack and tcp-fack forming the congestion avoidance algorithms. Section 2 gives the background on IEEE 802.16 and wimax. Section 3 covers the overview of literature survey that serves as the foundation approaches to the algorithms we surveyed. Lastly, Conclusions and future scope comes up in section 5.

### BACKGROUND

The original IEEE 802.16 standard (now called "Fixed WiMAX") was published in 2001. WiMAX adopted some of its technology from WiBro, a service marketed in Korea. Mobile WiMAX (originally based on 802.16e-2005) is the revision that was deployed in many countries, and basis of future revisions such as

802.16m-2011. WIMAX is sometimes referred to as "Wi-Fi. on steroids" and can be used for a number of applications including broadband connections. It is similar to Wi-Fi, but it can enable usage at much greater distances. WIMAX is called the next generation broadband wireless technology which offers high speed, secure, sophisticated and last mile broadband services along with a cellular back haul and Wi-Fi hotspots. The evolution of WIMAX began a few years ago when scientists and engineers felt the need of having a wireless Internet access and other broadband services which works well everywhere especially the rural areas or in those areas where it is hard to establish wired infrastructure and economically not feasible. IEEE 802.16, also known as IEEE Wireless-MAN, explored both licensed and unlicensed band of 2-66 GHz which is standard of fixed wireless broadband and included mobile broadband application. WIMAX forum, a private organization was formed in June 2001 to coordinate the components and develop the equipment those will be compatible and inter operable. After several years, in 2007, Mobile WIMAX equipment developed with the standard IEEE 802.16e got the certification and they announced to release the product in 2008, providing mobility and nomadic access. The IEEE 802.16e air interface based on Orthogonal Frequency Division Multiple Access (OFDMA) which main aim is to give better performance in non-line-of-sight environments. IEEE 802.16e introduced scalable channel bandwidth up to 20 MHz, Multiple Input Multiple Output (MIMO) and AMC enabled 802.16e technology to support peak Downlink (DL) data rates up to 63 Mbps in a 20 MHz channel through Scalable OFDMA (S-OFDMA) system.

## II. LITERATURE SURVEY OF VARIOUS CONGESTION CONTROL ALGORITHMS

### A. *Tcp Tahoe*

TCP Tahoe was the first algorithm to employ two transmission phases: slow start and congestion avoidance. TCP is based on a principle of 'conservation of packets', i.e. if the connection is running at the available bandwidth capacity then a packet is not injected into the network unless a packet is taken out as well. TCP implements this principle by using the acknowledgements to clock outgoing packets because an acknowledgement means that a packet was taken off the wire by the receiver. It also maintains a congestion window CWD to reflect the network capacity.

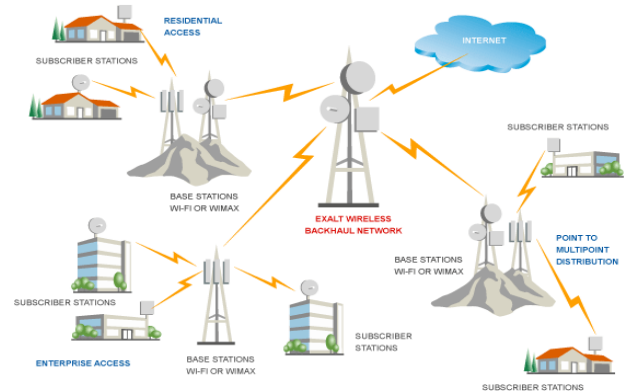


Figure 1. IEEE 802.16 WiMAX Network

### B. *Slow Start:*

To resolve the congestion problem the slow start algorithm has been involved. The basic of this approach is the notion of a congestion window (cwnd). When the new connection is established the cwnd is initialized to one packet. Every time a packet with sequence number  $n$  arrives at the receiver, the receiver confirms the packet  $n$  by sending an acknowledgement (ACK) packet. It contains the information for the sequence number of another packet, which it is waiting for (may not be " $n+1$ ") back to the sender. TCP uses an arrival of ACK as a trigger of new packet transmission, i.e. each time an ACK is received, the congestion window is increased by one packet. The sender stops increasing the window size when one reach the limit of the network capacity. The limit is defined as minimum of window that sender can transmit and window that receiver can receive.

### C. *Congestion Avoidance:*

For congestion avoidance Tahoe uses 'Additive Increase Multiplicative Decrease'. A packet loss is taken as a sign of congestion and Tahoe saves the half of the current window as a threshold value. It then set CWD to one and starts slow start phase until it reaches the threshold value. After that it increments linearly until it encounters a packet loss. Thus it increases its window slowly as it approaches the bandwidth capacity.

Problems: The problem with Tahoe is that it takes a complete timeout interval to detect a packet loss and in fact, in most implementations it takes even longer because of the coarse grain timeout. Also since it doesn't send immediate ACK's, it sends cumulative acknowledgements, therefore it follows a 'go back  $n$ ' approach. Thus every time a packet is lost it waits for a timeout and the pipeline is emptied. This offers a major cost in high band-width delay product links.

#### D. *Tcp Reno*

The Reno retains the basic principle of Tahoe, such as slow starts and the coarse grain re-transmit timer. However it adds some intelligence over it so that lost packets are detected earlier and the pipeline is not emptied every time a packet is lost. Reno requires that we receive immediate acknowledgement whenever a segment is received. The logic behind this is that whenever we receive a duplicate acknowledgment, then this duplicate acknowledgment could have been received if the next segment in sequence expected, has been delayed in the network and the segments reached there out of order or else that the packet is lost. If we receive a number of duplicate acknowledgements then that means that sufficient time has passed and even if the segment had taken a longer path, it should have gotten to the receiver by now. There is a very high probability that it was lost. So Reno suggests an algorithm called 'Fast Re-Transmit'. Whenever we receive 3 duplicate ACK's we take it as a sign that the segment was lost, so we re-transmit the segment without waiting for timeout. Thus we manage to re-transmit the segment with the pipe almost full. Another modification that RENO makes is in that after a packet loss, it does not reduce the congestion window to 1, since this empties the pipe. It enters into a algorithm which we call 'Fast-Re-Transmit'. The basic algorithm is presented as under:

1: Each time we receive 3 duplicate ACK's we take that to mean that the segment was lost and we re-transmit the segment immediately and enter 'Fast-Recovery'.

2: Set SStresh to half the current window size and also set CWD to the same value.

3: For each duplicate ACK receive increase CWD by one. If the increase in CWD is greater than the amount of data in the pipe then transmit a new segment else wait. If there are 'w' segments in the window and one is lost, then we will receive (w-1) duplicate ACK's. Since CWD is reduced to W/2, therefore half a window of data is acknowledged before we can send a new segment. Once we retransmit a segment, we would have to wait for atleast one RTT before we would receive a fresh acknowledgement. Whenever we receive a fresh ACK we reduce the CWND to SStresh. If we had previously received (w-1) duplicate ACK's then at this point we should have exactly w/2 segments in the pipe which is equal to what we set the CWND to be at the end of fast recovery. Thus we don't empty the pipe, we just reduce the flow. We continue with congestion avoidance phase of Tahoe after that. Problems: Reno performs very well over TCP when the packet losses are small. But when we have multiple packet losses in

one window then RENO doesn't perform too well and its performance is almost the same as Tahoe under conditions of high packet loss.

#### E. *Tcp New-Reno*

New RENO is a slight modification over TCP-RENO. It is able to detect multiple packet losses. Like Reno, New-Reno also enters into fast-retransmit when it receives multiple duplicate packets, however it differs from RENO in that it doesn't exit fast-recovery until all the data which was out standing at the time it entered fast recovery is acknowledged. Thus it overcomes the problem faced by Reno of reducing the CWD multiples times. The fast-transmit phase is the same as in Reno. The difference in the fast recovery phase which allows for multiple re-transmissions in new-Reno. Whenever new-Reno enters fast recovery it notes the maximums segment which is outstanding. The fast-recovery phase proceeds as in Reno, however when a fresh ACK is received then there are two cases: If it ACK's all the segments which were outstanding when we entered fast recovery then it exits fast recovery and sets CWD to ssthresh and continues congestion avoidance like Tahoe. If the ACK is a partial ACK then it deduces that the next segment in line was lost and it re-transmits that segment and sets the number of duplicate ACKS received to zero. It exits Fast recovery when all the data in the window is acknowledged.

Problems: New-Reno suffers from the fact that it take one RTT to detect each packet loss. When the ACK for the first retransmitted segment is received only then can we deduce which other segment was lost.

#### F. *Tcp Vegas*

TCP Vegas is a TCP congestion control algorithm that emphasizes packet delay, rather than packet loss, as a signal to determine the rate at which to send packets. TCP Vegas detects congestion based on increasing Round Trip Time (RTT) values of the packets in the connection unlike TCP Reno which detect congestion only after it has actually happened via packet drops.

The algorithm depends heavily on accurate calculation of the Base RTT value. Base RTT is set to be the minimum of all measured RTTs; it is commonly the RTT of the first segment sent by the connection. If the connection is not over flown by the traffic, the expected throughput is given by:

Expected Throughput = WindowSize/BaseRTT

Where WindowSize is the size of the current congestion window

Then current actual sending rate is calculated once per round trip time as:

Actual throughput =  $W/RTT$

Where  $W$  is the congestion window

The congestion window is adjusted depending upon the difference between expected and actual sending rates.

Difference = (expected - actual) baseRTT

Also two thresholds  $a$  and  $b$  are defined such that,  $a < b$  and  $a > b$  correspond to having too little and too much extra traffic in the network, respectively. When Difference  $< a$ , TCP Vegas increases the congestion window linearly during the next RTT, and when Difference  $> b$ , TCP Vegas decrease the congestion window linearly during the next RTT. The congestion window is

Left unchanged when  $a < \text{Difference} < b$ .

Problems: This algorithm emphasizes on packet delay rather than packet loss as a signal to determine the rate at which to send packets. It also depends heavily on accurate calculation of the Base RTT value. TCP Vegas detects congestion based on increasing Round Trip Time (RTT) values of the packets in the connection unlike TCP Reno which detect congestion only after it has actually happened via packet drops.

### G. *Tcp Veno*

TCP Veno operates with the objective of distinguishing loss type, thus performing an appropriate window reduction instead of a fixed drop of window in Reno, and forcing a TCP connection to stay longer at the equilibrium by employing a proactive congestion detection method and a reactive congestion detection method together. Veno differs from the conventional TCP in two ways: 1) It dynamically adjusts the slow start threshold (ssthresh) based on the equilibrium estimation of a connection as opposed to using a fixed drop-factor window, when packet loss is encountered. 2) It uses a refined linear increase algorithm, which employs both the proactive and reactive congestion detection schemes to adjust the congestion window size during the additive increase phase.

Problems: TCP Veno give same problems as Reno in performing data retransmission when multiple packets are lost in a single of window.

Tcp Sack

TCP with 'Selective Acknowledgments' is an extension of TCP Reno and it works around the problems face by TCP RENO and TCP New-Reno, namely detection of multiple lost packets, and retransmission of more than one lost packet per RTT. Sack retains the slow-start and fast retransmit parts of RENO. SACK TCP requires that segments not be acknowledged cumulatively but should be

acknowledged selectively. Thus each ACK has a block which describes which segments are being acknowledged. Thus the sender has a picture of which segments have been acknowledged and which are still outstanding. Whenever the sender enters fast recovery, it initializes a variable pipe which is an estimate of how much data is outstanding in the network, and it also set CWND to half the current size. Every time it receives an ACK it reduces the pipe by 1 and every time it retransmits a segment it increments it by 1. Whenever the pipe goes smaller than the CWD window it checks which segments are unreceived and send them. If there are no such segments outstanding then it sends a new packet. Thus more than one lost segment can be sent in one RTT.

### H. *Tcp Fack*

The FACK algorithm uses the additional information provided by the SACK option to keep an explicit measure of the total number of bytes of data outstanding in the network. In doing so, fack attempts to preserve TCP's self clock and reduce the overall burstiness of TCP. The requisite network state information can be obtained with accurate knowledge about the forward most data held by the receiver, by forward most, we mean the correctly received data with the highest sequence number. Also the sender must retain information on data blocks held by the receiver, which is required in order to use SACK information to correctly retransmit data. In addition to what is needed to control data retransmission information on retransmitted segments must be kept in order to accurately determine when they have left the network.

## III. CONCLUSION AND FUTURE SCOPE

As we have studied that various protocols are there to provide higher data rates while controlling congestion in fixed and mobile networks but almost of them varies from one scheme to another and also some of them are implemented to limited network parameters. It is found that Wimax still suffers with conspicuous quality issues like limited bandwidth, limited energy of nodes, random packet losses, mobility of nodes etc. All such issues constituents' congestion in network. So in future various variants of TCP can be used for minimal packet losses, retransmissions in mobile networks can be used in such a way that congestion window keeps as high as possible, while keeping the congestion under control. Most of the TCP variants reviewed above are lacks in handling all issues in one or two

variants. Therefore there arises a need to provide one particular solution to handle all such issues that can also offer a QoS improvement also in Wimax. From the above study TCP Tahoe, Sack and Fack delivers effective Network throughput and less delay. So these TCP variants can be analyzed to see how they work better in higher offer loads while keeping congestion under control.

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